

Mission Statement

While the university recognizes that each member of the community is responsible for compliance, there are identified staff throughout the institution who have specific operational compliance responsibilities. These individuals work in units across the university and apply specialized experience and expertise to particular compliance issues and requirements. They serve as the first line of defense for specified compliance and risk management areas while the Office of Ethics, Compliance and Privacy provides oversight and centrally develops, coordinates, implements and manages GW's Ethics and Compliance Program.

Under the leadership of the Office of Ethics, Compliance and Privacy, the University Compliance Network (UCN) will serve as the mechanism to bring together operational owners of compliance functions to collaboratively work together to ensure coordination of compliance activities across the institution. The UCN will assist in maintaining an effective compliance and ethics program by ensuring compliance activities are reasonably designed, implemented and educated on, and are effective in detecting and preventing risk or compliance violations and will promote a culture of ethical conduct and commitment to laws, policies and regulations.

Responsibilities

The UCN will be responsible for the following:

- Centralized tracking of regulatory responsibilities including efforts to comply with responsibilities, assessment of risk associated with regulatory compliance, training initiatives, and reporting/audit obligations
- Analyzation of the effectiveness and efficiency of existing compliance processes and providing of institutional knowledge and expertise to improve compliance activities
- Assist in the identification of existing and emerging compliance risks and participate in the discussion of university strategy to address identified risk
- Sharing of resources including tools, trainings, and educational documents
- Provide feedback on compliance education and training tools for the university community based on areas of expertise
- Communicate and promote a culture of compliance at the university

Group Composition

The University Compliance Network (UCN) is comprised of individuals who have operational responsibility for key compliance and risk areas. Members are nominated by the Office of Ethics, Compliance and Privacy and confirmed by unit leadership and represent the following key compliance areas:

Athletics
Disability Support Services
Division of Operations
Division of Safety and Security
Division of Student Affairs
Human Resource Management and Development
Information Technology
Office of Human Research
Office of Institutional Research and Planning
Office of Lab Safety
Office of Student Financial Assistance
Office of the General Counsel
Office of the Registrar
Office of the University Controller
Office of the Vice President for Research
Privacy
Risk Management
Student Accounts Office
Title IX Office
Treasury Management

Optional Partner Membership – Medical Faculty Associates

Operations

The UCN will meet monthly, or more frequently as circumstances dictate.

The UCN was established November 2019.